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D/P

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 10/645,300

Filing Date August 21, 2003

First Named Inventor EWERT, Roger D.

Art Unit 2632

Examiner Name

Attorney Docket Number 7345

**ENCLOSURES (check all that apply)**

- Fee Transmittal Form  
 Fee Attached – Check No. 296479 for \$130.00  
 Amendment / Reply  
 After Final  
 Affidavits/declaration(s)  
 Extension of Time Request  
 Express Abandonment Request  
 Information Disclosure Statement

- Drawing(s)  
 Licensing-related Papers  
 Petition  
 Petition to Convert to a Provisional Application  
 Power of Attorney, Revocation Change of Correspondence Address  
 Terminal Disclaimer  
 Request for Refund  
 CD, Number of CD(s) \_\_\_\_\_

- After Allowance Communication to Technology Center (TC)  
 Appeal Communication to Board of Appeals and Interferences  
 Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  
 Proprietary Information  
 Status Letter

Other Enclosure(s)  
(please identify below):

**Request to Correct Inventorship in a Patent Application;  
Statement of Tom N. Thiele;  
Declaration for Utility or Design Patent Application  
Written Consent of Assignee to Correction of Inventorship w/Attachments  
Return Postcard**

Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Peter J. Manghera, Attorney (Reg. No. 40,080)		
Signature			
Date	November 8, 2004		

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop PG PUB, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Peter J. Manghera		
Signature		Date	November 8, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT (\$)** 130.00

Complete if Known	
Application Number	10/645,300
Filing Date	August 21, 2003
Patent Number	
Filing Date	
First Named Inventor	Roger Ewert
Examiner Name	
Art Unit	2632
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METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)																																																																																																																																																																																																							
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ADDITIONAL FEES</b> <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> </tr> </thead> <tbody> <tr> <td>Fee Code</td> <td>Fee (\$)</td> <td>Fee Code</td> <td>Fee (\$)</td> </tr> <tr> <td>1051</td> <td>130</td> <td>2051</td> <td>65</td> <td colspan="2">Fee Description</td> </tr> <tr> <td>1052</td> <td>50</td> <td>2052</td> <td>25</td> <td colspan="2">Surcharge - late filing fee or oath</td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td colspan="2">Surcharge - late provisional filing fee or cover sheet.</td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td colspan="2">Non-English specification</td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td colspan="2">For filing a request for reexamination</td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td colspan="2">Requesting publication of SIR prior to Examiner action</td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td colspan="2">Requesting publication of SIR after Examiner action</td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td colspan="2">Extension for reply within first month</td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td colspan="2">Extension for reply within second month</td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td colspan="2">Extension for reply within third month</td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td colspan="2">Extension for reply within fourth month</td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td colspan="2">Extension for reply within fifth month</td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td colspan="2">Notice of Appeal</td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td colspan="2">Filing a brief in support of an appeal</td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td colspan="2">Request for oral hearing</td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td colspan="2">Petition to institute a public use proceeding</td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td colspan="2">Petition to revive – unavoidable</td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td colspan="2">Petition to revive – unintentional</td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td colspan="2">Utility issue fee (or reissue)</td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td colspan="2">Design issue fee</td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td colspan="2">Plant issue fee</td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td colspan="2">Petitions to the Commissioner</td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td colspan="2">Processing fee under 37 CFR 1.17(q)</td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td colspan="2">Submission of Information Disclosure Stmt</td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td colspan="2">Recording each patent assignment per property (times number of properties)</td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td colspan="2">Filing a submission after final rejection (37 CFR § 1.129(a))</td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td colspan="2">For each additional invention to be examined (37 CFR § 1.129(b))</td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td colspan="2">Request for Continued Examination (RCE)</td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td colspan="2">Request for expedited examination of a design application</td> </tr> <tr> <td colspan="4"></td> <td colspan="2">Other fee (specify) 1808 – Processing Fee</td> <td colspan="2">130.00</td> </tr> <tr> <td colspan="4"></td> <td colspan="2">*Reduced by Basic Filing Fee Paid</td> <td colspan="2">SUBTOTAL (3) (\$ 130.00)</td> </tr> </tbody> </table>				Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	1051	130	2051	65	Fee Description		1052	50	2052	25	Surcharge - late filing fee or oath						Surcharge - late provisional filing fee or cover sheet.						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\*or number previously paid, if greater; For Reissues, see above

SUBMITTED BY						Complete (if applicable)	
Name (Print/Type)	Peter J. Manghera	Registration No. (Attorney/Agent)	40,080	Telephone	608-229-2200		
Signature	<i>Peter J. Manghera</i>			Date	November 8, 2004		

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Mail Stop Assignments, P.O. Box 1450, Alexandria, VA 22313-1450.



I hereby certify that this correspondence is being transmitted by first class mail to the U.S. Patent and Trademark Office, in a postage paid envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on November 8, 2004.

Reinhart Boerner Van Deuren s.c.

By: Peter J. Manghera

Signature:

Date: November 8, 2004

Appl. No.	:	10/645,300	Confirmation No. 7185
Applicant	:	Roger D. Ewert, et al.	
Filed	:	August 21, 2003	
TC/A.U.	:	2632	
Docket No.	:	7345	
Customer No.	:	22922	

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST TO CORRECT INVENTORSHIP IN A PATENT APPLICATION**  
**UNDER 37 C.F.R. §1.48 (a)**

Sir:

The above-referenced patent application currently sets forth the following inventors:

Roger D. Ewert  
Steven J. Ylvisaker and  
Tom N. Thiele.

Applicant hereby respectfully requests that Tom N. Thiele be deleted as an inventor from the above-referenced patent application.

After entry of the requested correction of inventorship the inventors set forth on the above-referenced patent application will be:

Roger D. Ewert and  
Steven J. Ylvisaker.

As required under 37 C.F.R. §1.48(a) attached hereto are the following documents:

1. Statement from Tom N. Thiele, being deleted as an inventor hereby, that the error in inventorship occurred without deceptive intention on his part.

2. A declaration by the actual inventors, Roger D. Ewert and Stephen J. Ylvisaker, as required by 37 C.F.R. §1.63.

3. The processing fee set forth in 37 C.F.R. §1.17(i).

4. Written consent of the assignee of the entire interest in the above-referenced patent application, Animal Alert Science, Inc., to the requested correction of inventorship.

Applicant respectfully requests that the foregoing correction of inventorship be entered into the above-referenced patent application.

Respectfully submitted,



Peter J. Manghera  
Reg. No. 40,080

Reinhart Boerner Van Deuren s.c.  
22 East Mifflin Street  
Madison, WI 53703  
608-229-2200  
Client No.: 095437-0013  
Customer No.: 22922

Attachments

MADISON\131102



I hereby certify that this correspondence is being transmitted by first class mail to the U.S. Patent and Trademark Office, in a postage paid envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on November 8, 2004.

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**STATEMENT OF TOM N. THIELE UNDER 37 C.F.R. §1.48(a)(2)**

Dear Sir:

I, Tom N. Thiele, was originally set forth as an inventor in the above-referenced patent application.

I hereby request that I be deleted as an inventor in the above-referenced patent application.

I hereby state that the inventorship error that occurred by my naming as an inventor in the above-referenced patent application occurred without deceptive intention on my part.

Signed,

Tom N. Thiele

Date: 28 August, 2004



Please type a plus sign (+) inside this box → +

PTO/SB/01 (12-97)

Approved for use through 9/30/00. OMB 0651-0031

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
a valid OMB control number.

**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

Declaration Submitted with Initial Filing       Declaration Submitted after Initial Filing  
OR

Attorney Docket Number	7345
First Named Inventor	Ewert et al.
COMPLETE IF KNOWN	
Application Number	10/645,300
Filing Date	August 21, 2003
Group Art Unit	2632
Examiner Name	

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**VEHICLE MOUNTED ANIMAL ALERTING DEVICE**

the specification of which  
 is attached hereto  
 OR  
 was filed on (MM/DD/YYYY)  as United States Application Number or PCT International Application Number  and was amended on (MM/DD/YYYY)  (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES	Certified Copy Attached? NO
			<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 3]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Applications, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Please type a plus sign (+) inside this box →

PTO/SB/01 (12-97)

Approved for use through 9/30/00. OMB 0651-0032

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.  
As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:  Customer Number OR

22922

\*22922\*

22922

Registered practitioner(s) name/registration number listed below

Name	Registration Number	Name	Registration Number

Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to:  Customer Number or Bar Code Label

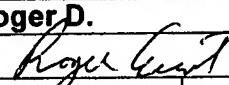
\*22922\*

OR  Correspondence address below

22922

Name					
Address					
City	State	ZIP			
Country	Telephone	Fax			

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:	<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])		Family Name or Surname				
Roger D.		Ewert				
Inventor's Signature						Date 10/29/04
Residence: City	Nekoosa	State WI	Country USA	Citizenship USA		
Post Office Address	8548 Aqua Drive					
Post Office Address						
City	Nekoosa	State WI	ZIP 54457	Country USA		

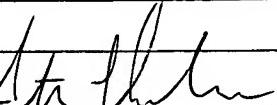
Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

Please type a plus sign (+) inside this box →

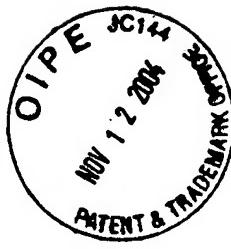
Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>DECLARATION</b>		ADDITIONAL INVENTOR(S) Supplemental Sheet Page 3 of 3	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Steven J. 		Ylvisaker	
Inventor's Signature		10.20.04 Date	
Residence: City <input type="text" value="Minneapolis"/>		State <input type="text" value="MN"/>	
Country <input type="text" value="USA"/>		Citizenship <input type="text" value="USA"/>	
Mailing Address <input type="text" value="222 Valleyview Place"/>			
Mailing Address			
City <input type="text" value="Minneapolis"/>		State <input type="text" value="MN"/>	
ZIP <input type="text" value="55419"/>		Country <input type="text" value="USA"/>	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature			
Residence: City		Country	
State		Citizenship	
Mailing Address			
Mailing Address			
City		State	
ZIP		Country	

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Applications, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



I hereby certify that this correspondence is being transmitted by first class mail to the U.S. Patent and Trademark Office in a postage paid envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on November 8, 2004.

Reinhart Boerner Van Deuren s.c.  
By: Peter J. Manghera

Signature: Peter J. Manghera

Date: November 8, 2004

Appl. No.	:	10/645,300	Confirmation No. 7185
Applicant	:	Roger D. Ewert, et al.	
Filed	:	August 21, 2003	
TC/A.U.	:	2632	
Docket No.	:	7345	
Customer No.	:	22922	

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**WRITTEN CONSENT OF ASSIGNEE TO CORRECTION OF**  
**INVENTORSHIP UNDER 37 C.F.R. §1.48(a)(5)**

Dear Sir:

Animal Alert Science, Inc., a Wisconsin corporation, is the assignee of the entire right, title, and interest in the above-referenced patent application pursuant to an assignment from the inventors to WPH&E, Inc., 240 Shore Acres Drive, Byron, WI 54494-1854, executed on August 16, 2003, and recorded in the U.S. Patent and Trademark Office at Reel 014771, Frame 0457, on December 8, 2003, a copy of which is attached hereto, and a subsequent assignment from WPH&E, Inc. to Animal Alert Science, Inc. executed on April 22, 2004, a copy of which is attached hereto.

Animal Alert Science, Inc. hereby grants consent to the correction of the inventorship of the above-referenced patent application from original named inventors Roger D. Ewert, Steven J. Ylvisaker, and Tom N. Thiele to delete Tom N. Thiele therefrom, the resulting remaining corrected named inventors to be Roger D. Ewert and Steven J. Ylvisaker.

I hereby state that I have authority to act on behalf of Animal Alert Science, Inc. to grant this written consent.

Signed,



Wayne P. Hochmuth  
President  
Animal Alert Science, Inc.

Date: 10-29-04

MADISON\131113



CORRECTED  
NOTICE

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JULY 01, 2004

PTAS

REINHART BOERNER VAN DEUREN S.C.  
PETER J. MANGHERA  
22 EAST MIFFLIN STREET  
SUITE 600  
MADISON, WI 53703

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/08/2003

REEL/FRAME: 014771/0457

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

EWERT, ROGER D.

DOC DATE: 08/16/2003

ASSIGNOR:

YLVISAKER, STEVEN J.

DOC DATE: 08/16/2003

ASSIGNOR:

THIELE, TOM N.

DOC DATE: 08/16/2003

ASSIGNEE:

WPH&E, INC.  
240 SHORE ACRES DRIVE  
BIRON, WISCONSIN 54494-1854

SERIAL NUMBER: 10645300

FILING DATE: 08/21/2003

PATENT NUMBER:

ISSUE DATE:

TITLE: VEHICLE MOUNTED ANIMAL ALERTING DEVICE

DOCKETED

7/12/04 Jmk

014771/0457 PAGE 2

DIANE RUSSELE, PARALEGAL  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

Form PTO-1595  
(Rev. 10/02)  
OMB No. 0651-0027 (exp. 6/30/2005)

## RECORD

12-12-2003

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office  
DOCKET NO. 7345

Tab settings   

To the Honorable Commissioner of Patents and Trademarks, Please refer to original documents or copy thereof.

1. Name of conveying party(ies): -3 AM in: 21  
Roger D. Ewert  
Steven J. Ylvisaker  
Tom N. Thiele  
**FINANCE SECTION**  
**12/8/03**

Additional name of conveying party(ies) attached?  Yes  No

2. Name and address of receiving party(ies):

Name: WPH&E, Inc.

Internal Address:

Street Address: 240 Shore Acres DriveCity: Biron State: WI Zip: 54494-1854Additional Name(s) & address(es) attached?  Yes  No

## 3. Nature of conveyance:

 Assignment Merger Security Agreement Change of Name Other \_\_\_\_\_Execution Date: August, 16, 2003

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

10/645,300

B. Patent No.(s)

Additional numbers attached?  Yes  No

## 5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Peter J. Manghera

Internal Address: \_\_\_\_\_

Street Address: Reinhart Boerner Van Deuren s.c.22 East Mifflin Street, Suite 600City: MadisonState: WIZip: 537036. Total number of applications and patents involved: 7. Total fee (37 CFR 3.41) ..... \$ 40.00 Enclosed Authorized to be charged to deposit account

8. Deposit account number:

18-0882

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Peter J. Manghera

Name of Person Signing

Signature

December 5, 2003

Date

Total number of pages including cover sheet, attachments, and documents: 4

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents &amp; Trademarks, Mail Stop Assignments

P.O. Box 1450, Alexandria, VA 22313-1450.

12/11/2003 UNI-ELLEX 00000148 10645300

01 FC 0021

40.00 DP

## PATENT

Attorney Docket No: \_\_\_\_\_

For: [X] U.S. and/or [X] Foreign Rights

For: [X] U.S. Application or [ ] U.S. Patent

By: [X] Inventor(s) or [ ] Present Owner

## ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to each ASSIGNOR identified below of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

## ASSIGNOR:

(inventor(s) or

Roger D. Ewert ("ASSIGNOR")

(Type or print name(s) of ASSIGNOR(S))

person(s) or entity(ies)  
who own the invention)

Inventor

Address:8548 Aqua DriveNekoosa, WI 54457(Nationality) USA Citizen

(inventor(s) or

Steven J. Ylvisaker

(Type or print name(s) of ASSIGNOR(S))

person(s) or entity(ies)  
who own the invention)Address:222 Valleyview PlaceMinneapolis, MN 55419(Nationality) USA Citizen

(inventor(s) or

Tom N. Thicle

(Type or print name(s) of ASSIGNOR(S))

person(s) or entity(ies)  
who own the invention)Address:3511 Big Timber DriveWisconsin Rapids, WI 54494(Nationality) USA Citizen

*(If assignment is by person or entity to whom invention was previously assigned  
and this was recorded in PTO add the following)*

Recorded on \_\_\_\_\_

Reel \_\_\_\_\_

Frame \_\_\_\_\_

hereby sells, assigns and transfers to

## ASSIGNEE:

WPH&E, Inc. ("ASSIGNEE")Address:240 Shore Acres Drive  
Biron, WI 54494-1854A Wisconsin Corporation  
(Domicile or Nationality)

and the successors, assigns and legal representatives of the ASSIGNEE  
(complete one of the following)

 the entire right, title and interest an undivided \_\_\_\_\_ percent (\_\_\_\_%) interest  
for the United States and its territorial possessions

(check the following box if foreign rights are also to be assigned)

 and in all foreign countries, including all rights to claim priority,  
in and to any and all improvements which are disclosed in the invention  
entitled:VEHICLE MOUNTED ANIMAL ALERTING DEVICE

(check and complete (a), (b), (c) or (d))

and which is found in

(a)  U.S. patent application executed on even date herewith(b)  U.S. patent application executed on \_\_\_\_\_ To comply with 37 CFR 3.21 for recordal of this assignment, I, an  
ASSIGNOR signing below, hereby authorize and request my attorney,

as

named in the Declaration and Power of Attorney I executed for this  
invention on the execution date stated above, to insert below the  
filing date and application number when it becomes known.(c)  U.S. application serial no. \_\_\_\_\_ filed on \_\_\_\_\_(d)  U.S. patent no. \_\_\_\_\_ issued \_\_\_\_\_ A change of address to which correspondence is to be sent regarding  
patent

maintenance fees is being sent separately.

(also check (e) if foreign application(s) is also being assigned)

(e)  and any legal equivalent thereof in a foreign country, including the  
right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

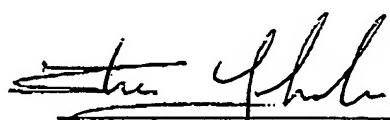
ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand the date indicated below.

*WARNING: Date of signing must be the same as the date of execution of the application if item (a)  
was  
checked above.*

Signature of ASSIGNOR(S)

  
\_\_\_\_\_  
Roger D. Ewert                            08.16.03  
(Date)

  
\_\_\_\_\_  
Steven J. Ylvisaker                        8.16.03  
(Date)

  
\_\_\_\_\_  
Tom N. Thiele                              8/16/03  
(Date)

MADISON\114004



NOV 01 2004

Deputy Under Secretary of Commerce For Intellectual Property and  
Deputy Director of the United States Patent and Trademark Office  
Washington, DC 20231  
[www.uspto.gov](http://www.uspto.gov)

OCTOBER 25, 2004

PTAS

REINHART BOERNER VAN DEUREN S.C.  
PETER J. MANGHERA  
22 EAST MIFFLIN STREET, SUITE 600  
MADISON, WISCONSIN 53703



\*102738180A\*

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 05/03/2004

REEL/FRAME: 015279/0237

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).  
DOCKET NUMBER: 7345

ASSIGNOR:  
WPH&E, INC.

DOC DATE: 04/22/2004

ASSIGNEE:  
ANIMAL ALERT SCIENCE, INC.  
3511 BIG TIMBER DRIVE  
WISCONSIN RAPIDS, WISCONSIN 54494

SERIAL NUMBER: 10645300  
PATENT NUMBER:  
TITLE: VEHICLE MOUNTED ANIMAL ALERTING DEVICE

FILING DATE: 08/21/2003

ISSUE DATE:

SERIAL NUMBER: 08189074  
PATENT NUMBER: 5515026  
TITLE: TOTAL ALERT DRIVER SAFETY SYSTEM

FILING DATE: 01/28/1994

ISSUE DATE: 05/07/1996

DOCKETED

11/1/2004 JK

DOCKETED

015279/0237 PAGE 2

ALLYSON PURNELL, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

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U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office

DOCKET NO. 7345

Tab settings ⇡ ⇢ ⇢

102738180

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): S-3-04  
WPH&E, Inc.

2. Name and address of receiving party(ies)

Name: Animal Alert Science, Inc.

Internal Address:

Additional name of conveying party(ies) attached?  Yes  No

3. Nature of conveyance:

- Assignment  Merger  
 Security Agreement  Change of Name  
 Other \_\_\_\_\_

Execution Date: April 22, 2004

Street Address: 3511 Big Timber Drive

City: Wisconsin Rapids State: WI Zip: 54494

Additional Name(s) & address(es) attached?  Yes  No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

10/645,300

B. Patent No.(s)

5,515,026

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Peter J. Manghera

Internal Address: Reinhart Boerner Van Deuren s.c.

05/04/2004 GTOH11 00000098 10645300

01 FC:8021

80.00 OP

Street Address: 22 East Mifflin Street, Suite 600

City: Madison State: WI Zip: 53703

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41) ..... \$ 80.00

Enclosed

Authorized to be charged to deposit account

8. Deposit account number:

18-0882

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Peter J. Manghera

Name of Person Signing

Signature

April 29, 2004

Date

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PATENT

Attorney Docket No: 7345 and 7353  
For: [X] U.S. and/or [X] Foreign Rights  
For: [X] U.S. Application and [X] U.S. Patent  
By: [] Inventor(s) or [X] Present Owner

**ASSIGNMENT OF INVENTION**

In consideration of the payment by ASSIGNEE to each ASSIGNOR identified below of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

**ASSIGNOR:**

(Owner(s) or WPH&E, Inc.  
(Type or print name(s) of ASSIGNOR(S))

Address:

240 Shore Acres Drive  
Biron, WI 54494-1854

hereby sells, assigns and transfers to

**ASSIGNEE:**

Animal Alert Science, Inc.  
(Type or print name(s) of ASSIGNEE(S))

Address:

3511 Big Timber Drive  
Wisconsin Rapids, WI 54494

(Nationality) a Wisconsin Corporation

and the successors, assigns and legal representatives of the ASSIGNEE

the entire right, title and interest, including the right to past damages, for the United States and its territorial possessions

and in all foreign countries, including all rights to claim priority, in and to the following Letters Patent and Patent Application:

U.S. Patent No. 5,515,026 entitled

**TOTAL ALERT DRIVER SAFETY SYSTEM**

and

U.S. Patent Application No. 10/645,300 entitled

**VEHICLE MOUNTED ANIMAL ALERTING DEVICE**

and any legal equivalent thereof in a foreign country, including the right to

claim priority

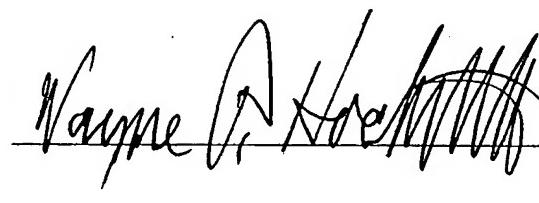
and, in and to, all Letters Patent obtained for any continuation, divisional, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof;

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I have hereunto set hand the date indicated below.

Signature of ASSIGNOR



Wayne P. Hochmuth  
4-22-04  
(Date)

Name: Wayne P. Hochmuth

Title: President

WPH&E, Inc.